PTO/SB/08a (08-03)

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bstitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

 Sheet
 1
 Attorney Docket Number
 10407/521

		· · · · · · · · · · · · · · · · · · ·	U.S. PATENT	DOCUMENTS	
Examiner	Cito	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant
Initials *	Cite No. ¹	Number - Kind Code ² (if known)		Cited Document	Passages or Relevant Figures Appear
	A1	US-6,152,824	11-28-2000	Rothchild et al.	
		US-			
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	FOREIGN PATENT DOCUMENTS										
Examiner Cite No.	Cito	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant						
	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T⁰					
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Signature	Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)	180

Complete if Known						
Application Number	09/967,283					
Filing Date	09/28/2001					
First Named Inventor	James Morrow					
Examiner Name	Yveste G. Cherubin	•				
Art Unit	3713					
Attornou Donkot No	10407/521					

METHOD OF PAYMENT (check all that apply)						FEE CALCULATION (continued)				
⊠ Check □ (loney ☐ Other [None			1	NAL FE			
☐ Deposit Acco		Order			<u>Large</u>		Small E			ļ
Deposit				1	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account	502811		J	ĺ	1051	130	2051	65	Surcharge - late filing fee or oath	
Number					1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit				i '	1053	130	1053	130	Non-English specification	
Account Name	Brown Raysman N	Millstein Felder & Stein	ar LLP	i '	1812	2,520	1812	2,520	For filing a request for reexamination	
The Director is a	authorized to: (che			J	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
☐ Charge any ac	dditional fee(s) duri	☑ Credit any overpaing the pendency of	f this application	n	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
	indicated below, ex ntified deposit accou	except for the filing	fee		1251	110	2251	55	Extension for reply within first month	
to the above-usin	<u> </u>	CULATION			1252	420	2252	210	Extension for reply within second month	
1. BASIC F	FILING FEE				1253	950	2253	475	Extension for reply within third month	
Large Entity	Small Entity	· · Presidentian			1254	1,480	2254	740	Extension for reply within fourth month	
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,	***	tility filing fee		٦ '	1401	330	2401	165	Notice of Appeal	
	= -	esign filing fee		┦ '	1402	330	2402	165	Filing a brief in support of an appeal	
1		lant filing fee		┦ '	1403	290	2403	145	Request for oral hearing	
1004 770	2004 385 Re	eissue filing fee]	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160	2005 80 Pro	rovisional filling fee	L	ٰ ل	1452	110	2452	55	Petition to revive – unavoidable	
l	SUBTOTAL ((1)	(\$) O	ר '	1453	1,330	2453	665	Petition to revive – unintentional	
	•				1501	1,330	2501	665	Utility issue fee (or reissue)	
2. EXTRA CL/		UTILITY AND RE		ı	1502	480	2502	240	Design issue fee	
ĺ	_	Extra Fee from Claims below	m Fee Paid	ı	1503	640	2503	320	Plant issue fee	
Total Claims	-20 ** = 0		= 0	י ר	1460	130	1460	130	Petitions to the Commissioner	
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Claims	-3** = 0	o x	= 0	ַ ן	1806	180	1806	180	Submission of Information Disclosure Stmt	180
Multiple Dependent		x	= 0]	8021	40	8021	40	Recording each patent assignment per property (times number of	
Large Entity	Small Entity			!	1809	770	2809	385	properties)	$\vdash \vdash \vdash$
Fee Fee Code (\$)	Fee Fee Code (\$)	Fee Description		ļ					Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18	2202 9	Claims in excess o		ļ	1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	l M
1201 86	2201 43	Independent claim:			ĺ	Ì	1			<u>\</u>
1203 290	2203 145	Multiple dependent ** Reissue indeper			1801	770	2801		Request for Continued Examination (RCE)	[]
1204 86	2204 43	original patent	doi::	" !	1802	900	1802	900	Request for expedited examination of a design application	6
1205 18	2205 9	** Reissue claims i over original patent		and	Other fe	ee (specif	4.1		от а сезідті аррисацыі	1
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	t of cold Manager			ا د	*Reduc	ed by Ba	asic Filing	, Fee Pa	eid SUBTOTAL (3) (\$) 180	S8
**or number previously paid, if greater; For Reissues, see above										~~ ~~

SUBMITTED BY			_	C	omplete (if applicable)	966
Name (Print/Type)	Brooke W. Quist	Registration No. (Attorney/Agent)	45,030	Telephone	310-712-8319	83 0
Signature	Brook W. Durst Date March 11, 2004					
pplication. Confidentiality is ompleted application form to or reducing this burden, sho	n is required by 37 CFR 1.17 ar s governed by 35 U.S.C. 122 ar to the USPTO. Time will vary do ould be sent to the Chief Inform COMPLETED FORMS TO THIS	Provide credit card information 1.27. The information is required to 37 CFR 1.14. This collection is esepending upon the individual case. A lation Officer, U.S. Patent and Trade ADDRESS. SEND TO: Commission in completing this form, call 1-800-F	o obtain or retain a benefitimated to take 12 minute any comments on the amo mark Office, U.S. Departmer for Patents, P.O. Bo	it by the public which is as to complete, includin ount of time you require ment of Commerce, P. x 1450, Alexandria, VA	g gathering, preparing, and s to complete this form and/or D. Box 1450, Alexandria, VA	ubmitting the

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TRANSMITTAL FORM					Filing Date		001	
ŀ	-OI	KIVI		First N	lamed Inventor	James	Morrow	
(to be used for all correspondence after initial filing)				Art Unit		3713	3713	
•				Exami	ner Name	Yveste	G. Cherubin	
Total Number of Pages in This Submission 3					ey Docket Number	10407/5	521	
		ENCLO	SURES	(check all that apply)				
Fee Transmittal F	om		☐ Drawin	g(s) After Allowance Commu			Allowance Communication to	
☐ Fee Attached	l		Licensi	ng-related Papers Appeal Communi			eal Communication to Board of eals and Interferences	
Amendment / Rep	ply		Petition	1	`	Appe (App	eal Communication to Group eal Notice, Brief, Reply Brief)	
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Response to Miss Incomplete Applic								
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		SIGNA	TURE OF A	APPLIC	ANT, ATTORNEY,	OR AGENT		
Firm or Brooke W. Quist Individual name								
Signature Blook			roch	W.	Junit		·	
Date March 11, 2004				·				
			CE	RTIFICA	TE OF MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.								
Typed or printed nam	e	Brooke W. Qu	iist				,	
Signature 97			7	2/	9.1	Date	March 11, 2004	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.